

DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket N . 11321-P006US

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Gas-Phase Nucleation and Growth of Single-Wall Carbon Nanotubes from High Pressure CO**, the specification of which,

(check one)

1. ☐ is attached hereto.
2. ☒ was filed on April 27, 2001 as
U.S. Application No. 09/830,642
and was amended on _____.
3. ☐ was filed on _____ as
International PCT Application No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

			Priority Benefits Claimed?
			Yes ___ No ___
_____	_____	_____	
(Application No.)	(Country)	(Filing Date)	

Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>60/106,917</u>	<u>3 November 1998</u>
(Application No.)	(Filing Date)
<u>60/114,588</u>	<u>31 December 1998</u>
(Application No.)	(Filing Date)
<u>60/117,287</u>	<u>26 January 1999</u>
(Application No.)	(Filing Date)
<u>60/161,728</u>	<u>27 October 1999</u>
(Application N .)	(Filing Date)

Prior U.S. Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
_____ (Application No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)

Power of Attorney

And I hereby appoint the practitioners listed below of the law firm of **Winstead, Sechrest & Minick P.C.** as my principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Ross S. Garson, Reg. No. 38,150
 Hugh R. Kress, Reg. No. 36,574
 Robert C. Shaddox, Reg. No. 34,011
 Reece A. Scott, Reg. No. 41,297

Direct Correspondence To:

Robert C. Shaddox, Esq.
WINSTEAD, SECREST & MINICK P.C.
 2400 Bank One Center
 918 Travis Street
 Houston, TX 77002

Direct Telephone Calls To:

Robert C. Shaddox, Esq. at telephone number (713) 660-3400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of**Sole or First Inventor:**SMALLEY, Richard E.**Citizenship:**U.S.**Inventor's signature:****Date of Signature:**7/20/01**Residence:****(City)**Houston**(State/Country)**TX/USA**Post Office Address:**1816 Bolshover Street, Houston, TX 77005**Second Inventor:**SMITH, Ken A.**Citizenship:**U.S.**Inventor's signature:**

Date of Signature:

Residence:**(City)**Katy**(State/Country)**TX/USA

Prior U.S. Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application No.)	_____ (Filing Date)	_____ (Status - patented, pending, abandoned)
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910 Travis Street
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Robert C. Shaddox, Esq. at telephone number (713) 650-8400

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Typewritten Full Name of

Sole or First Inventor: SMALLEY, Richard B. **Citizenship:** U.S.

Inventor's signature: _____ **Date of Signature:** _____

Residence: (City) Houston **(State/Country)** TX/USA

Post Office Address: 1816 Bolsover Street, Houston, TX 77005

Second Inventor: SMITH, Ken A. **Citizenship:** U.S.

Inventor's signature: KS **Date of Signature:** 7/19/11

Residence: (City) Katy **(State/Country)** TX/USA

Post Office Address: 333 Dominion, Katy, TX 77450

Third Inventor: COLBERT, Daniel T. Citizenship: U.S.

Inventor's signature:  Date of Signature: 7/17/01

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 1911 Milford Street, Houston, TX 77098

Fourth Inventor: NIKOLAEV, Pavel Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/US

Post Office Address: 2232 1/2 Troon, Houston, TX 77019

Fifth Inventor: BRONIKOWSKI, Michael J. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 5840 Gulfon Drive, Apt. 1785, Houston, TX 77081

Sixth Inventor: BRADLEY, Robert K. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 2411 South Boulevard, Apt. 7, Houston, TX 77098

Seventh Inventor: ROHMUND, Frank Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) _____ (State/Country) _____

Post Office Address: _____

Post Office Address: 333 Dominion, Katy, TX 77450

Third Inventor: COLBERT, Daniel T. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 1911 Milford Street, Houston, TX 77098

Fourth Inventor: NIKOLAEV, Pavel Citizenship: RUSSIA

Inventor's signature:  Date of Signature: 8.13.01

Residence: (City) Houston (State/Country) TX/US

Post Office Address: 17365 SATURN, HOUSTON, TX 77058

Fifth Inventor: BRONIKOWSKI, Michael J. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 5840 Gulfon Drive, Apt. 1785, Houston, TX 77081

Sixth Inventor: BRADLEY, Robert K. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 2411 South Boulevard, Apt. 7, Houston, TX 77098

Seventh Inventor: ROHMUND, Frank Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) _____ (State/Country) _____

Post Office Address: _____

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Post Office Address: 333 Dominion Katy, TX 77450

Third Inventor: COLBERT, Daniel T. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 1911 Milford Street, Houston, TX 77098

Fourth Inventor: NIKOLAEV, Pavel Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/US

Post Office Address: 2232 1/2 Troon, Houston, TX 77019

Fifth Inventor: BRONIKOWSKI, Michael J. Citizenship: U.S.

Inventor's signature: Michael J. Bronikowski Date of Signature: July 23, 2001

Residence: (City) ~~Houston~~ Pasadena (State/Country) ~~CA/USA~~ TX/USA

Post Office Address: ~~5840 Gulton Drive, Apt. 1785, Houston, TX 77061~~
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Sixth Inventor: BRADLEY, Robert K. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 2411 South Boulevard, Apt. 7, Houston, TX 77098

Seventh Inventor: ROHMUND, Frank Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) _____ (State/Country) _____

Post Office Address: _____

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(Revised 03/00)

Third Inventor: COLBERT, Daniel T. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 1911 Milford Street, Houston, TX 77098

Fourth Inventor: NIKOLAEV, Pavel Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/US

Post Office Address: 2232 1/2 Troon, Houston, TX 77019

Fifth Inventor: BRONIKOWSKI, Michael J. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 5840 Gulfon Drive, Apt. 1785, Houston, TX 77081

Sixth Inventor: BRADLEY, Robert K. Citizenship: U.S.

Inventor's signature:  Date of Signature: 7/25/01

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 2411 South Boulevard, Apt. 7, Houston, TX 77098

Seventh Inventor: ROHMUND, Frank Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) _____ (State/Country) _____

Post Office Address: _____

Post Office Address: 333 Dominion Katy, TX 77450

Third Inventor: COLBERT, David T. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

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Fourth Inventor: NIKOLAEV, Pavel Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/US

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Inventor's signature: _____ Date of Signature: _____

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Sixth Inventor: BRADLEY, Robert K. Citizenship: U.S.

Inventor's signature: _____ Date of Signature: _____

Residence: (City) Houston (State/Country) TX/USA

Post Office Address: 2411 South Boulevard, Apt. 7, Houston, TX 77098

Seventh Inventor: ROHMUND, Frank Citizenship: US German

Inventor's signature: Frank Rohmund Date of Signature: 07/19/2001

Residence: (City) Gothenburg (State/Country) Sweden

Post Office Address: Februavägen 11, S-41514 Gothenburg, Sweden

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